INVESTMENT ADVISORY | WEALTH MANAGEMENT | INSURANCE

Confidential Client Questionnaire

At WWK, we realize that your time is valuable. Completing the questionnaire ahead of time allows you to spend sufficient time answering a vast array of factors critical in planning for your retirement. Without it, questions often go unanswered because information is not only available. Additionally, we are able to spend ample time to conduct research and analysis, avoiding gaps in planning. We are then able to spend more face-to-face time reviewing our findings and recommendations. Keep in mind, there are no costs for this evaluation service. nor are you under any obligation. As always. all information is strictly confidential.

PERSONAL INFORMATION			CHILDREN	DATE OF BIRTH
Name				
Address				
City	State	Zip		
Home Phone				
Year In Which You Began Investing				

	CLIENT	SPOUSE
Date of Birth		
Work Phone		
Mobile Phone		
E-mail Address		
Employer		
Occupation		
Expected Retirement Date		
Current Earned Income		
Rental Income		
Other		
Estimated Ongoing Investments (annually)		
Estimated Anticipated Employer Match(annually)		

RETIREMENT INCOME & RISK TOLERANCE

	CLIENT		SPOUSE	
Estimated after-tax monthly income you will need to assure a comfortable retirement (in today's dollars)	$			
SOCIAL SECURITY Please refer to your annual Social Security Statement. You can also estimate your benefits on the Social Security website. Www.ssa.gov/oact/quickcalc.	$	START AGE:	$	START AGE:
PENSION Pensions paid in a lump sum should be entered on the Assets Page under "RetirementAssets"	$	START AGE:	$	START AGE:
PART-TIME WORK	$		$	

PAYMENT TYPE: (Client)
- ☐ Life Annuity
- ☐ 5-Year Certain & Continuous
- ☐ 10-Year Certain & Continuous
- ☐ 15-Year Certain & Continuous
- ☐ 50% Joint & Survivor
- ☐ 75% Joint & Survivor
- ☐ 100% Joint & survivor

PAYMENT TYPE: (Spouse)
- ☐ Life Annuity
- ☐ 5-Year Certain & Continuous
- ☐ 10-Year Certain & Continuous
- ☐ 15-Year Certain & Continuous
- ☐ 50% Joint & Survivor
- ☐ 75% Joint & Survivor
- ☐ 100% Joint & survivor

RISK TOLERANCE

More risk

Less Risk

PLEASE SELECT ONE:

N/A Speculative – Owning large holdings in individual stocks, options or other individual securities. Greatest chance for large losses.

- ☐ High Growth – Opportunity to significantly exceed inflation with a diversified portfolio. High chance of short-term declines.
- ☐ Moderate Growth – Opportunity to moderately exceed inflation with a diversified portfolio. Moderate chance of short-term declines.
- ☐ Balanced – Opportunity to exceed inflation with a diversified portfolio of stocks and bonds. Still a chance of smaller short-term declines.
- ☐ Conservative - Opportunity to slightly outpace inflation with a diversified portfolio of stocks and bonds. Losses less frequent in occurrence.
- ☐ Capital Preservation – Opportunity to protect with largest risk being inflationary pressures.

ASSETS			
LIQUID ASSETS	**CLIENT**	**SPOUSE**	**JOINT ACCOUNTS**
Checking/Savings			
Money Market/CD			
Brokerage (Stocks/Bonds)			
Mutual Funds			
College Savings			
Annuity (Fixed or Variable)			
Other:			
RETIREMENT ASSETS			
Current 401(k), 403(b), SEP, etc.			N/A
Former Retirement Plan Assets			N/A
Traditional IRA			N/A
Roth IRA			N/A
Pension (Cash Value If Available)			N/A
Other:			N/A
ILLIQUID ASSETS			
Primary Residence			N/A
Second Residence			N/A
Rental			N/A
Autos (Estimated Value)			N/A
Business Ownership			

LIABILITIES					
	BALANCE	**RATE**	**YEAR BORROWED**	**TERM**	**MONTHLY PAYMENT**
Mortgage (Primary)					
Home Equity					
Mortgage (Other)					
Auto #1					
Auto #2					
Credit Card					
Other					

MISCELLANEOUS		
	CLIENT	**SPOUSE**
Life Insurance Face Amount		
Life Insurance Cash Value		

DO YOU HAVE:	Yes	No	Need
Will	☐	☐	☐
Living Trust	☐	☐	☐
Health Care/Financial POA	☐	☐	☐
Attorney(Name/Phone)	☐	☐	☐
CPA (Name/Phone)	☐	☐	☐

PLEASE SELECT ONE:

☐ I/we prefer to invest in lower cost products without guarantees of income or principal guarantees for heirs.

☐ I/we prefer to invest in products that contain protection for heirs even though annual charges are higher.

☐ I/we prefer to invest in products that provide guaranteed income payments even though annual charges are higher.

CHECKLIST:

☐ Completed Confidential Client Questionnaire

☐ Included copies of most recent statements of all assets listed and Social Security Statement

☐ Attached additional notes on back page if necessary

Client Signature ____________________ Spouse Signature ____________________ Date ____________________

Notes...

126 N. Center Street | Northville MI 48167
Ph: 248.449.8300 | Fax: 248.449.8388
www.wwkinvestments.com